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AG2175

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PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/742657
Filing Date	Dec 21, 2000
First Named Inventor	Nishikawa, H.
Group Art Unit	2175
Examiner Name	Mahmoudi, H.
Attorney Docket Number	JP919990204US1

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☐ Amendment / Reply
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
 - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

Acknowledgment Postcard
Statement Under 37 CFR 1.97(e) (1 pg.)
Copies of 5 References

Remarks

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name: Michael F. Hoffman - Reg. No. 40019
Hoffman, Warnick & D'Alessandro LLC

Signature

Date

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**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
JP919990204US1

In Re Application Of: **Hidenori Nishikawa**

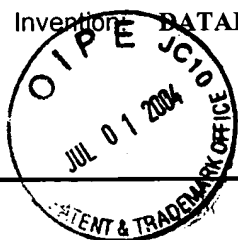
Application No. 09/742,657	Filing Date 12/21/2000	Examiner Mahmoudi, Hassan	Customer No. 23550	Group Art Unit 2175	Confirmation No. 6991
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Invention: **DATABASE SYSTEM INCLUDING HIERARCHICAL LINK TABLE**

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COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

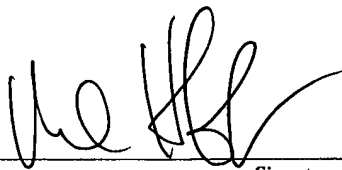
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Michael F. Hoffman
Reg. No. 40,019

Dated: **June 28, 2004**

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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	09/742,657
		Filing Date	12/21/2000
		First Named Inventor	Hidegori Nishikawa
		Group Art Unit	2175
		Examiner Name	Mahmoudi, Hassan
(use as many sheets as necessary)		Attorney Docket Number	JP919990204
Sheet	2	of	2

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		HIRAOKA, AKIO, "Extension and Application of the Link Facility in the Link Oriented DBMS G-Base," March 11, 1991, pp. 1-10.	
		KANEMASA, YASUHIKO et al., "Storage Management of a XML Query Processing System xQues," July 22, 1999, pp. 243-248.	

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